

**SELF-APPRAISAL: THIS PAGE WILL BE COMPLETED BY FACULTY MEMBER**

Appraisal Period: From \_\_\_\_\_ to \_\_\_\_\_

Name \_\_\_\_\_

Department \_\_\_\_\_

Current Rank \_\_\_\_\_ Date Rank Achieved \_\_\_\_\_

The annual review of faculty is outlined in [RIT Policy E07.0](#). Faculty should review this policy to familiarize themselves with all aspects of the appraisal process and expectations.

**PLANS OF WORK**

Please report the percentages of effort in each of the four categories below from your two POWs. Because a POW is based on Academic Year and the Appraisal is based on Calendar Year, the percentages may be different for the Spring and Fall semesters.

Spring 2022			Fall 2022		
1. Teaching/Tutoring	_____	% of effort	1. Teaching/Tutoring	_____	% of effort
2. Scholarship	_____	% of effort	2. Scholarship	_____	% of effort
3. Communication/Diversity	_____	% of effort	3. Communication/Diversity	_____	% of effort
4. Service	_____	% of effort	4. Service	_____	% of effort
<b>Total</b>	<b>100</b>	<b>% of effort</b>	<b>Total</b>	<b>100</b>	<b>% of effort</b>

Per RIT Policy E07.0, "...each faculty member shall submit a copy of his or her plan of work for the current and previous academic years." Therefore, you must submit your two plans of work that include the academic terms indicated above.

**SELF-APPRAISAL: THIS PAGE WILL BE COMPLETED BY FACULTY MEMBER****LIFE CIRCUMSTANCES IMPACT STATEMENT / PANDEMIC IMPACT STATEMENT (optional)**

Per RIT Policy E07.0, "faculty members may also submit an optional "Life Circumstances" Impact Statement. A Life Circumstances Impact Statement allows faculty to document the impact of significant life events during their annual faculty review which affected their productivity or balance of work responsibilities during the evaluation period. These significant events could include life-changing responsibilities such as providing elder care, caregiver responsibilities for an ill or injured member of their immediate family or a family disaster. Childbirth or adoption are excluded, as they are defined in E33.0 – Family Leave Policy."

Per the November 7, 2022 joint message from the Associate Provost for Faculty Affairs and the Chair of the RIT Faculty Senate, faculty seeking tenure and promotion review in AY 2023-24 have the option to include a COVID-19 impact statement as part of their dossier per the guidance document located at:

[https://www.rit.edu/provost/sites/rit.edu.provost/files/docs/Covid\\_Impact\\_statements\\_for\\_PT\\_dossiers\\_1.docx](https://www.rit.edu/provost/sites/rit.edu.provost/files/docs/Covid_Impact_statements_for_PT_dossiers_1.docx)

*Life Circumstances Impact Statement / Pandemic Impact Statement:*

**SELF-APPRAISAL: THIS PAGE WILL BE COMPLETED BY FACULTY MEMBER****1. Teaching/Tutoring**

Provide a brief and thoughtful review (up to 250 – 500 words) of what you have achieved relative to the area of teaching/tutoring. Be sure to include an analysis of student evaluations indicating areas of strengths and weaknesses as well as actions taken to address concerns. *Original documentation should not be submitted, but should be available upon request and retained for future tenure and promotion processes.*

*The following information is required:*

- a. **Teaching/Tutoring Workload Reports.** Please complete and submit [Faculty Workload Reports \(Excel\)](#) for the academic terms indicated on page 1. Faculty are expected to submit separate Excel workload reports for both Spring and Fall terms along with this appraisal.
- b. A brief summary of student evaluation ratings in text, tabulated, or graphic form.
- c. Other

**SELF-APPRAISAL: THIS PAGE WILL BE COMPLETED BY FACULTY MEMBER****2. Scholarship (for tenured and tenure-track faculty only)**

Provide a brief and thoughtful review (up to 250 – 500 words) of what you have achieved relative to the area of scholarship/creative activity. *Original documentation should not be submitted, but should be available upon request and retained for future tenure and promotion processes.*

*The following information is required for each scholarship/creative activity (Search <https://www.rit.edu/academicaffairs/facultyscholarship/submit/list.php> to retrieve your submissions. You may also choose to provide your list of scholarship/research activities from this list):*

**Scholarship/creative artifacts**

- How was it documented? (*new written work, creation of work or techniques/processes, etc.*)
- How was it disseminated (*exhibitions or displays, publications, presentations, etc.*) or is it currently in review?
- How was it peer-reviewed? (*review, evaluation, grant, honor, award, juried exhibition, competition or publication, etc.*)
- Were students involved in the scholarship?

**Grants**

- Did you submit any grant proposals (even if they weren't funded or are still in review)?
- Who were the collaborators, if applicable?
- How was it peer-reviewed?
- If you received a grant, who was it from?
- Where are you in the grant cycle?

**SELF-APPRAISAL: THIS PAGE WILL BE COMPLETED BY FACULTY MEMBER****3. Communication/Diversity**

Provide a brief and thoughtful review (up to 250 – 500 words) of what you have achieved relative to the areas of communication and diversity. For communication guidance for NTID faculty, and the Communication Assessment Checklist, refer to <https://www.rit.edu/ntid/president/academic-affairs#college-policies-and-guidelines>. For diversity efforts, refer to <https://www.rit.edu/ntid/diversity>. *Original documentation should not be submitted, but should be available upon request and retained for future tenure and promotion processes.*

**SELF-APPRAISAL: THIS PAGE WILL BE COMPLETED BY FACULTY MEMBER****4. Service**

Provide a brief and thoughtful review (up to 250 – 500 words) of what you have achieved relative to the area of service. Service activities are those taken on in order to benefit one's community at any level within or external to the university. Examples include participation on or leadership of a school, college, or university committee, recruitment efforts, development efforts, or participation or leadership role in a professional organization, etc. *Original documentation should not be submitted, but should be available upon request and retained for future tenure and promotion processes.*

**SELF-APPRAISAL: THIS PAGE WILL BE COMPLETED BY FACULTY MEMBER****5. Overall comments (optional)**

If you choose to do so, provide a brief review (up to 250 – 500 words) of your overall performance during this appraisal period. *Original documentation should not be submitted, but should be available upon request and retained for future tenure and promotion processes.*

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- (1) Please use Digital Signature.
- (2) Do NOT lock/certify the entire document.
- (3) Once signed, pages 1-7 will be locked and unalterable.

<b>FACULTY MEMBER'S SIGNATURE / DATE</b>
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**THIS PAGE WILL BE COMPLETED BY THE DEPARTMENT CHAIRPERSON.**

**Please check faculty status:**      Tenured Faculty      Tenure Track Faculty      Lecturer      Other (specify)

<b>FACULTY MEMBER</b>	<b>CHAIRPERSON</b>	<b>PERIOD</b>	
		<b>FROM:</b>	<b>TO:</b>

Teaching/Tutoring	Communication and Diversity	Scholarship	Service	Overall Rating
(Check one)	(Check one)	(Check one)      NA	(Check one)	(Check one)
<input type="checkbox"/> Outstanding	<input type="checkbox"/> Outstanding	<input type="checkbox"/> Outstanding	<input type="checkbox"/> Outstanding	<input type="checkbox"/> Outstanding
<input type="checkbox"/> Exceeds Expectations	<input type="checkbox"/> Exceeds Expectations	<input type="checkbox"/> Exceeds Expectations	<input type="checkbox"/> Exceeds Expectations	<input type="checkbox"/> Exceeds Expectations
<input type="checkbox"/> Meets Expectations	<input type="checkbox"/> Meets Expectations	<input type="checkbox"/> Meets Expectations	<input type="checkbox"/> Meets Expectations	<input type="checkbox"/> Meets Expectations
<input type="checkbox"/> Does Not Meet Expectations	<input type="checkbox"/> Does Not Meet Expectations	<input type="checkbox"/> Does Not Meet Expectations	<input type="checkbox"/> Does Not Meet Expectations	<input type="checkbox"/> Does Not Meet Expectations
<input type="checkbox"/> Unsatisfactory	<input type="checkbox"/> Unsatisfactory	<input type="checkbox"/> Unsatisfactory	<input type="checkbox"/> Unsatisfactory	<input type="checkbox"/> Unsatisfactory

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<b>CHAIRPERSON'S SIGNATURE / DATE</b>
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**THIS PAGE WILL BE COMPLETED BY THE DEPARTMENT CHAIRPERSON.**

**STATEMENT REGARDING PROGRESS TOWARDS TENURE**

This statement must be completed by the chairperson as part of the annual appraisal for non-tenured faculty in a tenure-track position.

You will be reviewed for tenure and promotion to associate professor by the college tenure committee in AY \_\_\_\_\_.

Your Third-Year Comprehensive Review was/will be conducted in AY \_\_\_\_\_.

Making satisfactory progress toward tenure.

Making progress toward tenure, but could focus additional effort in the areas indicated below.

Limited progress toward tenure. Additional effort is needed in the areas indicated below.

Not making progress toward tenure.

Additional Comments:

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**CHAIRPERSON'S SIGNATURE / DATE**

**COMMENTS BY CHAIRPERSON:****SIGNATURE INSTRUCTIONS:**

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<b>CHAIRPERSON'S SIGNATURE / DATE</b>
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**COMMENTS BY FACULTY MEMBER:**

I have reviewed the preceding and, at my option, have included the above response. A signature does not imply agreement with the appraisal.

**SIGNATURE INSTRUCTIONS:**

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- (2) Do NOT lock/certify the entire document.
- (3) Once signed, pages 1-11 will be locked and unalterable.

<b>FACULTY MEMBER'S SIGNATURE / DATE</b>
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**COMMENTS BY ASSOCIATE VP FOR ACADEMIC AFFAIRS: (Required in the case of tenure-track faculty)**

I have reviewed the preceding and, at my option, have included the above response.

**SIGNATURE INSTRUCTIONS:**

- (1) Please use Digital Signature.
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- (3) Once signed, pages 1-12 will be locked and unalterable.

<b>ASSOCIATE VP FOR ACADEMIC AFFAIRS SIGNATURE / DATE</b>
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**COMMENTS BY FACULTY MEMBER** regarding Associate VP for Academic Affairs' comments, if desired.

I have reviewed the preceding and, at my option, have included the above response. A signature does not imply agreement with the appraisal.

**SIGNATURE INSTRUCTIONS:**

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<b>FACULTY MEMBER'S SIGNATURE / DATE</b>
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